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Brian Kruse

Vice President, Private Wealth Advisor

Jim Lund is Chief Compliance Officer and Founding Partner of NorthRock Partners. With more than 30 years of experience as a financial advisor, Jim delivers the Personal Office™ experience to corporate executives, business owners, families and non-profit entities. Jim continues to earn recognition as one of America's Top Financial Planners by Consumers Research Council of America and has made numerous appearances on local and national media, including The Wall Street Journal, Forbes and the Star Tribune.

NEED ALL BIO INFO

FPO

As CCO, Jim is responsible for managing the firm-wi[illegible] of NorthRock Partners' compliance strategy, which ensures that the fi[illegible] regulatory requirements. He's also responsible for en[illegible] NorthR[illegible]ees comply with internal policies and procedures. W[illegible]porate reputatio[illegible]sessing the highest of ethical standards, Jim leads t[illegible]lopment of the com[illegible] team and the monitoring of the compliance program.

Jim graduated f[illegible] Univ[illegible]ing a Bache[illegible]ence in biology and a Mas[illegible]ness Adm[illegible]on in[illegible]ent. He also earn[illegible] his C[illegible] Financia[illegible]r degree [illegible] of Financial Planning [illegible], Colo[illegible]

[illegible]m and his wife, Da[illegible] in Plymouth, Minnesota, and have three adult children. In additi[illegible] pendin[illegible]sota summers on the lake or up at the family farm in [illegible]nesota, Ji[illegible] in his church and enjoys home improvement projects.

[illegible]SIONAL DESIGNATIONS AND ASSOCIATIONS

Cha[illegible] Financial Consultant (ChCF®)

PROFESSIONAL AND COMMUNITY INVOLVEMENT

- Invited university guest lecturer
- Member of Financial Planning Association
- Member of Investment Management Consultants Association
- Former Board Member and Chairman of the Development Committee of the American Red Cross for the St. Paul, Minnesota area

30+ YEARS IN INDUSTRY

NORTHROCK PARTNERS